



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Avi J. ASHKENAZI, et al..

Application Serial No. 09/978,564

Filed: October 16, 2001

For: **SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME**

) Examiner: Angell, Jon E.

) Art Unit: 1635

) Confirmation No. 5282

) Attorney's Docket No. 39780-2630 P1C25

) Customer No. 35489

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DATE MAILED: SEPTEMBER 29, 2005

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

**MAIL STOP AMENDMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each publication other than U.S. patents and U.S. patent application publications, and each cited pending unpublished U.S. application along with a concise explanation of information in a foreign language pursuant to 37 C.F.R. §§1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. Form Supplemental PTO-1449 is enclosed and the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

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☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- ☐ a certification as specified in §1.97(e) is provided below; or
- ☒ a fee of **\$180.00** as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.


☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

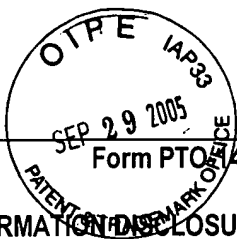
☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of **\$180.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2630 P1C25).**

Respectfully submitted,

Dated: September 29, 2005

  
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<b>Form PTO/SB 449</b> <b>INFORMATION DISCLOSURE STATEMENT</b>				Attorney's Docket No. 39780-2630 P1C25		Application Serial No. 09/978,564		
				Applicant(s) <div style="text-align:center">Avi J. ASHKENAZI et al.</div>				
(use several sheets if necessary)				Filing Date: October 16, 2001		Group Art Unit: 1635		
<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)	
<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation	
							YES	NO
<b>OTHER DOCUMENTS</b> (including author, title, date, pertinent pages, etc.)								
Examiner Initials	Ref. No.	Title						
	1.	Dayhoff, Accession No.: P_AAB65293, WO 200073454-A1, Pub Date: December 7, 2000, A. J. Ashkenazi et al.						
	2.	Dayhoff, Accession No.: P_AAB48108, WO 200069885-A2, Pub Date: November 23, 2000, Y. Pan et al.						
	3.	Dayhoff, Accession No.: P_AAB33430, WO 200053758-A2, Pub Date: September 14, 2000, A. J. Ashkenazi et al.						
	4.	Dayhoff, Accession No.: P_AAB44248, WO 200053756-A2, Pub Date: September 14, 2000, A. J. Ashkenazi et al.						
	5.	Dayhoff, Accession No.: P_AAY41692, WO 9946281-A2, September 16, 1999, W. I. Wood et al.						
	6.	Dayhoff, Accession No.:AAH09371, Direct Submission, Submitted: June 12, 2001, R. Strausberg.						
	7.	Dayhoff, Accession No.:BAB15347, Direct Submission, Submitted: August 29, 2000, S. Sugano et al.						
	8.	Dayhoff, Accession No.: P_AAB48147, WO 200069885-A2, Pub Date: November 23, 2000, Y. Pan et al.						
	9.	Dayhoff, Accession No.: P_AAB48146, WO 200069885-A2, Pub Date: November 23, 2000, Y. Pan et al.						
	10.	Dayhoff, Accession No.: P_AAB48145, WO 200069885-A2, Pub Date: November 23, 2000, Y. Pan et al.						
	11.	GenBank, Accession No.: P_AAF44262, WO 200073454-A1, Pub Date: December 7, 2000, A. J. Ashkenazi et al.						
	12.	GenBank, Accession No.: P_AAC58595, WO 200053758-A2, Pub Date: September 14, 2000, A. J. Ashkenazi et al.						
	13.	GenBank, Accession No.: AK026068, Direct Submission, Submitted: August 29, 2000, S. Sugano et al.						
	14.	GenBank, Accession No.: BC009371, Direct Submission, Submitted: June 12, 2001, R. Strausberg.						

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
<b>EXAMINER:</b> Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	
*If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).	